

# Important Information

## about your Financial Adviser



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Quantum Finance Auckland Ltd is an  
Authorised Body of Quantum Finance Ltd  
who is the Financial Advice Provider

**Licence holder 751471**

### What sort of adviser am I?

I am a Financial Adviser providing advice on  
behalf of Quantum Finance Auckland Ltd - **FSP 1006850**

### My qualifications

Level 5- NZ Certificate in Financial Services, specialising in

- Residential Property Lending

### My duties

I am bound by the duties of the Financial Markets Conduct Act to meet the standards of competence, knowledge and skill as set out in the Code of Conduct for Financial Advice Providers. I give priority to my clients' interests and I exercise care, diligence and skill. I meet the standards of ethical behaviour, conduct and client care as set out in the Code of Conduct.

### Accreditations

**Banks, Lenders & Providers I'm accredited with:**

- |            |                    |                        |                      |                   |
|------------|--------------------|------------------------|----------------------|-------------------|
| • ANZ      | • ASAP Finance     | • Finbase              | • Pepper Money       | • Unity           |
| • ASB      | • Avanti Finance   | • First Mortgage Trust | • Plus Finance       | • Vincent Capital |
| • SBS Bank | • Basecorp         | • Funding Partners     | • Prosopa            | • XCEDA           |
|            | • Bizcap           | • Heartland Bank       | • Simplify           | • XE              |
|            | • Cressida Capital | • Liberty Financial    | • Southern Cross     |                   |
|            | • DBR              | • Pallas Capital       | • The Lending People |                   |

### Professional memberships

I am a current member of the **KAN** (*Kiwi Adviser Network*) Mortgage Aggregation Group, and I am a participating member of the **Financial Services Complaints Ltd** (*FSCL*) Disputes Resolution Group.

### Further Information

Full details on Fees & Expenses, Conflicts of Interest, and how we handle complaints, are on our website:

[www.quantumgroup.nz/our-obligations/](http://www.quantumgroup.nz/our-obligations/)

This information is available in writing. Please email the address below if you would like a copy.

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