

# Important Information

## about your Risk Insurance Adviser



**Name:** Niko Nicholson  
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### What sort of adviser am I?

I am a Financial Adviser providing advice on behalf of Quantum Risk Ltd – FSP 558167

Quantum Risk Ltd is an  
Authorised Body of Quantum Finance Ltd  
who is the Financial Advice Provider  
Licence holder 751471

### My qualifications

Level 5- NZ Certificate in Financial Services

- specialising in Life, Disability & Health Insurance

### My duties

I am bound by the duties of the Financial Markets Conduct Act to meet the standards of competence, knowledge and skill as set out in the Code of Conduct for Financial Advice Providers.

I give priority to my clients' interests and I exercise care, diligence and skill. I meet the standards of ethical behaviour, conduct and client care as set out in the Code of Conduct.

### Accreditations



### Professional memberships

I am a participating member of the Financial Services Complaints Ltd (FSCL) Disputes Resolution Group.

### Further Information

Full details on Fees & Expenses, Conflicts of Interest, and how we handle complaints, are on our website:

[www.quantumgroup.nz /our-obligations/](http://www.quantumgroup.nz/our-obligations/)

This information is available in writing. Please email the address below if you would like a copy.

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